

**NOTICE**

**Shorter Notice** is hereby given that the 30<sup>th</sup> Annual General Meeting (the “AGM”) of the members of Alpha Alternatives Financial Services Private Limited (*Formerly known as Provincial Finance and Leasing Co Private Limited*) (the “Company”) will be held on Friday the 29<sup>th</sup> day of September 2023 at 10:00 a.m. (IST) at the Registered Office of the Company at 34<sup>th</sup> Floor, Sunshine Tower, Senapati Bapat Marg, Near Kamgar Krida Maidan, Dadar West, Mumbai - 400 013, to transact the following businesses:

**Ordinary Business:**

1. To consider and adopt the Audited Financial Statements of the Company for the financial year ended March 31, 2023, together with the reports of the Board of Directors and Auditors thereon, by passing the following resolution, with or without modification(s), as an **Ordinary Resolution**:

“**RESOLVED THAT** the Audited Financial Statements of the Company for the financial year ended March 31, 2023, together with the Reports of the Board of Directors and Auditors thereon, as circulated to the Members, be and are hereby considered and adopted.”

2. **Appointment of Statutory Auditors:**

**A. To fill in the Casual Vacancy:**

To consider and, if thought fit, to pass, with or without modification(s), the following resolution as an **Ordinary Resolution**:

“**RESOLVED THAT** pursuant to the provisions of Section 139 and all other applicable provisions, if any, of the Companies Act, 2013 (the “Act”), read with the Companies (Audit and Auditors) Rules, 2014, as amended from time to time, approval of the Members of the Company, be and is hereby accorded for appointment of M/s NDAA & Associates LLP (Firm Registration No. 129486W / W100775), as the Statutory Auditors of the Company, with effect from 18<sup>th</sup> September, 2023, to fill in the casual vacancy caused by the resignation of M/s. Ambavat Jain & Associates LLP (Firm Registration No. 109681W) to hold office till the conclusion of this Annual General Meeting, at such remuneration and out of pocket expenses, as may be mutually agreed between the Board of Directors of the Company and the Auditors;

**RESOLVED FURTHER THAT** the Board of Directors (including any Committee thereof) be and are hereby severally authorised on behalf of the Company, to do all such acts, deeds, matters and things as it may, in its absolute discretion deem necessary or desirable for such purpose and with the power to the Board to settle all questions, difficulties or doubts that may arise in this regard for the implementation of the aforesaid Resolution.”

**B. For a term of 3 (three) years:**

To consider and, if thought fit, to pass, with or without modification(s), the following resolution as an **Ordinary Resolution**:

**“RESOLVED THAT** pursuant to the provisions of Section 139 and all other applicable provisions, if any, of the Companies Act, 2013 (the “Act”), read with the Companies (Audit and Auditors) Rules, 2014, as amended from time to time, read with RBI notification RBI/2021-22/25, Ref.No.DoS.CO.ARG/SEC.01/08.91.001/2021-22 dated April 27, 2021, including any amendment(s), modification(s), variation(s) or re-enactment(s) thereof, from time to time, approval of the Members of the Company, be and is hereby accorded for appointment of M/s NDAA & Associates LLP (Firm Registration No 129486W / W100775), as the Statutory Auditor of the Company, to hold office from the conclusion of this Annual General Meeting (“AGM”) till the conclusion of the 33<sup>rd</sup> AGM of the Company, subject to them continuing to fulfill the applicable eligibility norms, at such remuneration and out of pocket expenses, as may be mutually agreed between the Board of Directors of the Company and the Auditors.

**RESOLVED FURTHER THAT** the Board of Directors (including any Committee thereof) be and are hereby severally authorised on behalf of the Company, to do all such acts, deeds, matters and things as it may, in its absolute discretion deem necessary or desirable for such purpose and with the power to the Board to settle all questions, difficulties or doubts that may arise in this regard for the implementation of the aforesaid Resolution.”

**For Alpha Alternatives Financial Services Private Limited**  
(Formerly known as Provincial Finance and Leasing Co Private Limited)

**Shreyans Mehta**  
Director  
DIN:06756771



**Place:** Mumbai  
**Date:** 18<sup>th</sup> September, 2023

**Registered Office:**  
34<sup>th</sup> Floor, Sunshine Tower,  
Senapati Bapat Marg, Dadar West,  
Mumbai – 400 013

CIN: U65923MH1993PTC075162

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*Alpha Alternatives Financial Services Private Limited*  
(Formerly known as Provincial Finance and Leasing Co Private Limited)  
(CIN: U65923MH1993PTC075162)

*Registered office: 34<sup>th</sup> floor, Sunshine Tower, Senapati Bapat Marg, Near Kamgar Krida Maidan, Dadar West,  
Mumbai - 400 013, India*

*Email: [info@alt-alpha.com](mailto:info@alt-alpha.com) (B) +91 22 6145 8900 (W): [www.fin.alt-alpha.com](http://www.fin.alt-alpha.com)*

**NOTES:**

1. The Annual General Meeting shall be convened at a shorter notice after obtaining consent from the Members of the Company pursuant to Section 101 of the Companies Act, 2013.
2. An Explanatory Statement pursuant to Section 102 of the Companies Act, 2013 to be transacted at the AGM in respect of item no 2A and 2B is annexed hereto and forms part of the Notice.
3. **A MEMBER ENTITLED TO ATTEND AND VOTE IS ENTITLED TO APPOINT A PROXY TO ATTEND AND VOTE ON POLL ON HIS/HER BEHALF AND THE PROXY NEED NOT BE A MEMBER OF THE COMPANY.** Pursuant to Section 105 of the Companies Act, 2013, a person can act as a Proxy on behalf of not more than fifty members holding in aggregate, not more than ten percent of the total share Capital of Company may appoint a single person as Proxy, who shall not act as a Proxy for any other Member. The instrument of Proxy, in order to be effective, should be deposited at the Registered Office of the Company, duly completed and signed, not later than 48 hours (Sunday is included in computation of 48 hours) before the commencement of the Meeting. A Proxy Form is annexed to this report.
4. Corporate members intending to send their authorized representatives to attend the meeting are requested to send a certified copy of the Board Resolution to the Company authorizing their representative to attend and vote on their behalf at the meeting.
5. Members / proxies / authorized representatives should bring the duly filled attendance slip enclosed herewith to attend the meeting.
6. A Route Map along with Prominent Landmark for easy location to reach the venue of Annual General Meeting is annexed with the Notice.

**STATEMENT PURSUANT TO THE PROVISIONS OF SECTION 102(1) OF THE COMPANIES ACT, 2013**

**Item No. 2A and 2B:**

(The explanation for this item is given for the information of the Members, though strictly not required as per Section 102 of the Companies Act, 2013)

The Members of the Company at the Twenty Sixth Annual General Meeting ('AGM') held on 30<sup>th</sup> September, 2019, had approved the appointment of M/s. Ambavat Jain & Associates LLP (Firm Registration No. 109681W), as the Auditors of the Company for a term of five years, till the conclusion of the 31<sup>st</sup> AGM to be held in the year 2024.

M/s. Ambavat Jain & Associates LLP has tendered their resignation as the Auditors of the Company, expressing their inability to continue as the Auditors due to other time bound and compelling assignments, resulting in a casual vacancy in the office of the Auditors of the Company, with effect from 14<sup>th</sup> September, 2023, as per Section 139(8) of the Companies Act, 2013 (the 'Act').

In accordance with aforesaid provision of the Act, the casual vacancy caused by the resignation of Auditors shall be filled by the Board within a period of thirty days and such appointment shall also be approved by the Members of the Company within three months of the recommendation of the Board.

Accordingly, based on the recommendation of the Audit Committee and the confirmation received from M/s. NDAA & Associates LLP (Firm Registration No. 129486W / W100775) on their eligibility and as per RBI notification RBI/2021-22/25, Ref.No.DoS.CO.ARG/SEC.01/08.91.001/2021-22 dated April 27, 2021, the Board recommends to the Members the appointment of NDAA & Associates LLP, as the Auditors of the Company:

- (a) to fill the casual vacancy caused by the resignation of Ambavat and to hold the office up to the conclusion of this AGM; and
- (b) for a period 3 years from the conclusion of this AGM till the conclusion of the 33<sup>rd</sup> AGM of the Company to be held in the year 2026.

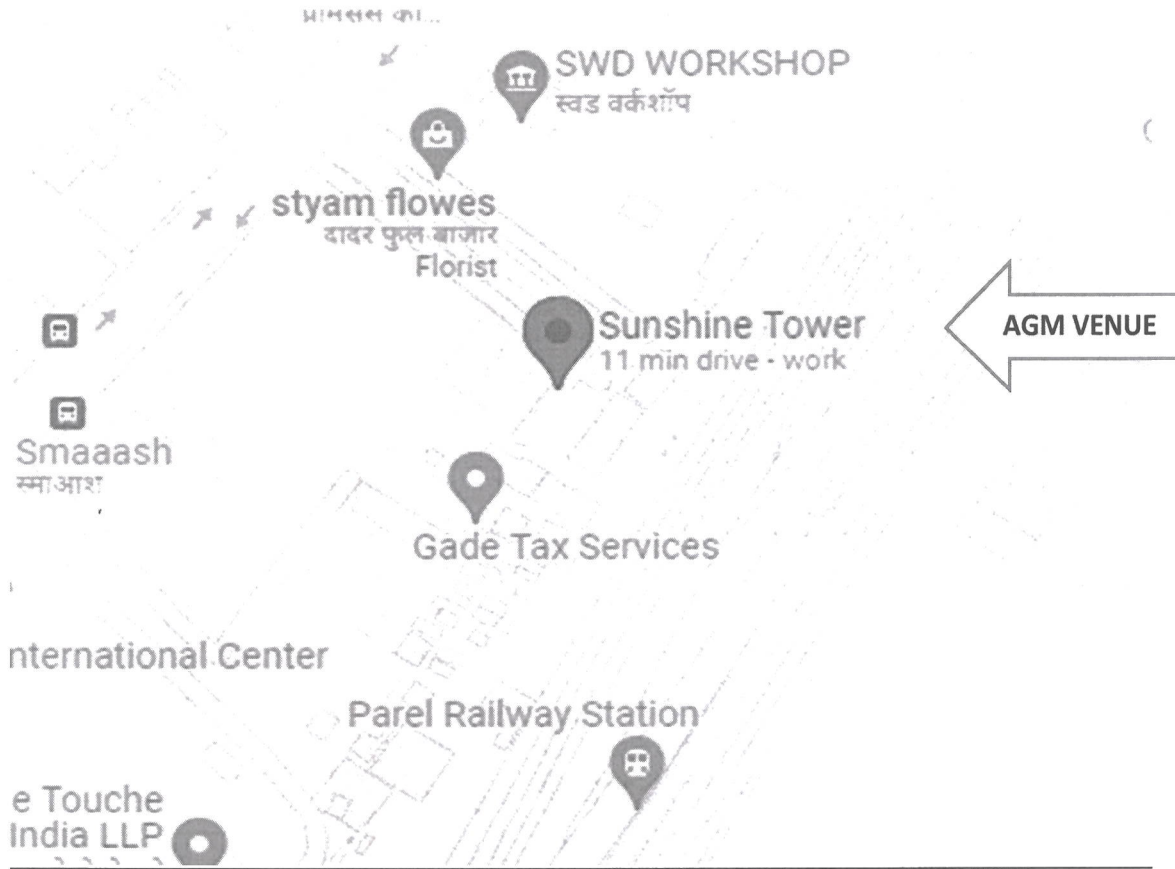
**Brief of M/s. NDAA & Associates LLP:**

NDAA & Associates, a partnership firm established in 2007, has been successfully carrying out its professional activities to facilitate timely and prompt services to its clients. Firm offers wide range of services like Risk Consulting, Assurance, Business Process outsourcing, Direct and Indirect Taxation, Secretarial Compliance, and other advisory services.

Firm has multi-disciplinary Team of experienced professionals having expertise in various domains.

None of the Directors, Key Managerial Personnel and their relatives is concerned or interested in Item Nos. 2A and 2B of the Notice.

Route map for the AGM to be held at the Registered Office of the Company at 34<sup>th</sup> Floor, Sunshine Tower, Senapati Bapat Marg, Near Kamgar Krida Maidan, Dadar (West), Mumbai – 400 013.



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**30<sup>TH</sup> ANNUAL GENERAL MEETING**

**ATTENDANCE SLIP**

**(To be presented at the entrance)**

CIN: U65923MH1993PTC075162

**Registered office:** 34<sup>th</sup> Floor, Sunshine Tower, Senapati Bapat Marg, Near Kamgar Krida Maidan, Dadar (West), Mumbai – 400 013.

DP ID	Client ID	Folio. No.	No. of Shares

Name: \_\_\_\_\_

Address: \_\_\_\_\_

Name of Proxy: \_\_\_\_\_

(To be filled in, if the Proxy attends instead of the member)

I hereby record my presence at the 30th Annual General Meeting of the Company held on Friday the 29<sup>th</sup> day of September 2023 at 10:00 a.m. at the registered office of the Company at 34<sup>th</sup> Floor, Sunshine Tower, Senapati Bapat Marg, Near Kamgar Krida Maidan, Dadar (West), Mumbai – 400 013.

\_\_\_\_\_  
Signature of Shareholder/Proxy/Authorised Representative

**NOTE:**

1. Member / Proxy holder wishing to attend the meeting must bring the Attendance Slip duly signed to the meeting and hand it over at the entrance.
2. In the case of joint holders, the vote of the senior who tenders a vote, whether in person or by Proxy, shall be accepted to the exclusion of the vote of the other joint holders. Seniority shall be determined by the order in which the names stand in the Register of Members.

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Form No. MGT-11

PROXY FORM

[Pursuant to section 105(6) of the Companies Act, 2013 and rule 19(3) of the Companies (Management and Administration) Rules, 2014]

CIN: U65923MH1993PTC075162

Registered office: 34th Floor, Sunshine Tower, Senapati Bapat Marg, Near Kamgar Krida Maidan, Dadar (West), Mumbai – 400 013.

Name of the Member (s):

Registered address:

E-mail Id:

Folio No/ DP ID & Client Id:

I/We, being the member (s) of ..... shares of the above named Company, hereby appoint

- 1. Name:..... Address: ..... Email-id ..... Signature ..... or failing him
2. Name: ..... Address: ..... Email-id ..... Signature ..... or failing him
3. Name: ..... Address: ..... Email-id ..... Signature ..... or failing him

as my/our proxy to attend and vote (on a poll) for me/us and on my/our behalf at the 30th Annual General Meeting of the Company, to be held on Friday the 29th day of September 2023 at 10:00 a.m. at 34th Floor, Sunshine Tower, Senapati Bapat Marg, Near Kamgar Krida Maidan, Dadar (West), Mumbai – 400 013 and at any adjournment thereof in respect of such Resolutions as are indicated below:

Table with 4 columns: Sr. No., Resolutions, For, Against. Row 1: To consider and adopt the Audited Financial Statements of the Company for the financial year ended March 31, 2023, together with the reports of the Board of Directors and Auditors thereon. Row 2: Appointment of Statutory Auditors.

Affix Revenue Stamp

Signature of Shareholder:
Signature of Proxy holder(s):

Note: This form of proxy in order to be effective should be duly completed and deposited at the Registered Office of the Company not less than 48 hours before the commencement of the meeting.